



PATENT
Docket No. 2656/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: **BRANDER, et al.**

Serial No.: **08/976,159**

Filing Date: **November 21, 1997**

For: **ENHANCED MATCHING
APPARATUS AND METHOD
FOR POST-TRADE
PROCESSING AND
SETTLEMENT OF
SECURITIES TRANSACTIONS**

Group Art Unit: 2765

Examiner: **Romain Jeanty**

Handwritten notes:
#51a
Dull
5-21-99

Assistant Commissioner
for Patents
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: 5/10/99

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Caleb Pollack
Caleb Pollack

Reg. No. 37,5

GROUP 2700

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AMENDMENT UNDER 37 C.F.R. § 1.111

SIR:

This amendment is submitted in response to the February 10, 1999 Office Action. Please amend this application as shown below.

IN THE CLAIMS

Please amend claims 1, 9, 12, 13, 15, 21, 22, 29, and 31 as shown below:

1. (Amended) A system for ~~[facilitating]~~ settlement of a securities trade by obtaining ~~agreement~~ as to the details of the trade among a broker, institution, agent and interested parties comprising:

SLG
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